# **Chris Brummer**

Georgetown University Law Center 600 New Jersey Ave N.W. Washington, DC 20001 chris.brummer@georgetown.edu

## **EMPLOYMENT**

2009-Present	<b>Georgetown University Law Center</b> Agnes N. Williams Professor of Law Faculty Director, Institute of International Economic Law <i>Courses taught</i> : Securities Regulation; Corporations; International Finance and Regulation; Cryptoassets and the Law
2021-Present	<b>Columbia Law School</b> Visiting Professor; Visiting Senior Fellow, Millstein Center
2019-Present	CQ Roll Call, Washington DC Co-Founder, <i>Fintech Beat</i> podcast
2021-Present	Federal National Mortgage Association (Fannie Mae) Member, Board of Directors
2015	<b>University of Pennsylvania School of Law</b> Visiting Professor
2012-Present	Atlantic Council, Washington, DC Nonresident Senior Fellow, Global Business and Economics Program (now the Geoeconomics Center)
2011-2016	Milken Institute, Washington, DC Senior Fellow, Center for Financial Markets
2012	London School of Economics Visiting Professor
2011	<b>University of California, Hastings</b> Roger Traynor Summer Professor in Corporate Law
2011	Heidelberg University Visiting Professor
2010	University of Basel Zaeslin Visiting Professor

2008-2009	Office of International Affairs, Securities and Exchange Commission Academic Fellow Advised senior staff members on issues concerning IOSCO Memorandum of Understanding, proposed mutual recognition reforms, cross-border exchanges, and credit rating agencies.
2006-2009	Vanderbilt University Law Center Assistant Professor of Law <i>Courses taught</i> : Securities Regulation, International Business Transactions, International Finance, and Globalization and Foreign Investment.
2004-2006	<b>Cravath, Swaine &amp; Moore, New York &amp; London</b> Banking Group Securities Law Group

## SERVICE

2018- Present	Member, Commodity Futures Trading Commission, Subcommittee Virtual Currencies, Technical Advisory Committee		
2020-2021	<b>Biden-Harris Presidential Transition Team (Treasury ART)</b> Primary issue areas: systemic risk, racial equity, financial technology, financial inclusion, China's monetary and regulatory system		
2016-Present	<b>DC Fintech Week, Washington DC</b> Founder and Organizer (unpaid/pro bono)		
2018-2020	Member, European Securities and Markets Authority Consultative Working Group, Financial Innovation Standing Committee		
2013-2015	Member, National Adjudicatory Council, FINRA		
2010-2017	Nasdaq, Expert Member, Delistings Panel		

## **EDUCATION**

J.D. 2004	Columbia University Law School
	Senior Editor, Columbia Law Review
	Editor, Columbia Journal of European Law
	James Kent Scholar <sup>1</sup> (2003)

<sup>&</sup>lt;sup>1</sup> Kent Scholar is generally awarded each year to the top 1 - 3 percent of the class.

	Harlan Fiske Stone Scholar <sup>2</sup> (2004) Alexander Hamilton Fellow <sup>3</sup>
Ph.D. 2001	<b>University of Chicago</b> Germanic Studies Andrew W. Mellon Fellowship in the Humanities United States Jacob K. Javits Fellowship
A.B. 1997	<b>Washington University in St. Louis,</b> <i>summa cum laude</i> Major in German literature, minor in comparative literature Phi Beta Kappa John B. Ervin Scholarship
1994-1995	<b>Universitaet Tuebingen, Germany</b> Studied German history, politics, philosophy, and French literature

## LANGUAGES

Present Fluent spoken and written German and Frenc	Present	Fluent spoken	and written	German a	nd French
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#### **BAR ADMISSION**

2016 - Present

**New York** 

## BOOKS

Fintech Law in a Nutshell (Westlaw) (2020)

- Cryptoassets: Legal, Regulatory, and Monetary Perspectives (Oxford University Press) (Editor) (2019)
- *Soft Law and the Global Financial System: Rule Making in the 21<sup>st</sup> Century* (Cambridge University Press, 2012) (2<sup>nd</sup> edition, expanded and revised, 2015)

Minilateralism: How Trade Alliances, Soft Law and Financial Engineering are Redefining Economic Statecraft (Cambridge University Press, 2014)

 $<sup>^{2}</sup>$  A student is named a Stone Scholar if during an academic year the student has an academic average significantly better than 3.4.

<sup>&</sup>lt;sup>3</sup> The Alexander Hamilton Fellowship is the leading scholarship based on academic merit awarded to incoming students at Columbia Law School.

#### **ARTICLES AND SHORTER WORKS**

Duty and Diversity, Vanderbilt L. Rev. (with Leo Strine, Jr.) (forthcoming) (2021), available at https://papers.ssrn.com/sol3/papers.cfm?abstract\_id=3788159 Featured in Bloomberg, Reuters, and the New York Times.

What do the Data Reveal about (the Absence of Black) Financial Regulators?, (Brookings Institution) (2020), available at https://www.brookings.edu/events/where-are-the-black-financial-regulators.

<u>Featured in Wall Street Journal Exclusive</u>, Black Regulators Rarely Appointed to Oversee Wall Street, July 22, 2020 at https://www.wsj.com/articles/black-regulators-rarelyappointed-to-oversee-wall-street-11595372699?mod=hp\_listb\_pos1. Also featured in Bloomberg, CNN, Marketwatch, Fast Company, New York Times, Yahoo Money and other media.

- A Theory of Everything: A Historically Grounded Understanding of Soft Law—and the BIS in Promoting Global Monetary and Financial Stability: The Bank for International Settlements after Bretton Woods, 1973-2020 (Cambridge University Press; Bank for International Settlements) (2020)
- What Should Be Disclosed in an Initial Coin Offering? in Cryptoassets: Legal, Regulatory, and Monetary Perspectives (Oxford University Press) (2019) (with Jai Massari and Trevor Kiviat)
- *Fintech and the Innovation Trilemma (with Y. Yadav), 107 Georgetown L. J. 235 (2019).* available at https://georgetownlawjournal.org/articles/298/fintech-and-the-innovationtrilemma/pdf
- *The Renminbi and Systemic Risk, Journal of International Economic Law 447 (2017), available at* https://academic.oup.com/jiel/article/20/3/447/4553496
- Disruptive Technology and Securities Regulation, 84 Fordham L. Rev. 977 (2015), available at http://ir.lawnet.fordham.edu/cgi/viewcontent.cgi?article=5158&context=flr
- Institutional Design: the International Architecture *in The Oxford Handbook on Financial Regulation* (with Matthew Smallcomb) (2015)
- Key Theoretical Parameters of the Soft Law Debate: A Basic Overview in the Changing Landscape of Global Financial Governance (BRILL 2015)
- Systematically Important Banks (SIBs in the Post-Crisis Era: The Global Response, and Responses around the Globe for 135 Countries (with James R. Barth, Tong Li, and Daniel E. Nolle), in *The Oxford Handbook of Banking, Revised Edition* (2014), available at https://papers.ssrn.com/sol3/papers.cfm?abstract\_id=2294641

- The New Politics of Transatlantic Credit Rating Agency Regulation, in The Fate of Transnational Financial Regulation (with Rachel Loko), (Routledge, 2014) Tony Porter, ed., available at https://papers.ssrn.com/sol3/papers.cfm?abstract\_id=2179239
- Domestic Bank Regulation in a Global Environment- A Comparative Dialogue (Published Panel Discussion with Lissa Broome, Michael Helfer, Cyrus Amir-Mokri, Robert Hocket & Nick O'Neill), 17 N.C. Banking Inst. 1-27 (2013)
- Soft Law and the Global Financial System: Rule Making in the 21<sup>st</sup> Century (Cambridge University Press, 2012)
- Charter of the Financial Stability Board, Introductory Note, International Legal Materials Vol. 51, No. 4, 828 (2012)

Networks (In)Action?, World Bank Legal Review, volume 3 (2011) How International Financial Law Works (and How it Doesn't), 99 Georgetown Law Journal 257 (2011)

- Territoriality as a Regular Technique: Notes from the Financial Crisis, 79 University of Cincinnati Law Review, 499-526 (2010) (invited symposium)
- Origins of the Financial Crisis and International/National Responses: An Overview, 104 Am. Soc'y Int'l L. Proc. 435 (2010)
- Crowdfunding: Maximizing the Promise and Minimizing the Peril, A Roundtable Discussion at Georgetown University Law Center, Milken Inst. (with Bradley D. Belt and Daniel Gorfine) (Aug. 2012), available at http://www.milkeninstitute.org/publications/view/534
- Why Soft Law Governs Finance- And Not Trade, 13 Journal of International Economic Law 623 (2010). Reprinted in International Law in Financial Regulation and Monetary Affairs 95-113 (John H. Jackson, Thomas Cottier & Rosa M. Lastra eds., Oxford: Oxford University Press 2012).
- Post-American Securities Regulation, 98 California Law Review 327 (2010). Selected to be reprinted by both Corporate Practice Commentator and Securities Law Review in 2011.
- Stock Exchanges and the New Market for Securities Law, 75 University of Chicago Law Review 1435 (2008)
- Corporate Law Preemption in an Age of Global Capital Markets, 81 Southern California Law Review 1067 (2008). Selected as one of the top 10 Corporate and Securities Law articles of 2008 by Corporate Practice Commentator.
- Regional Integration and Incomplete Club Goods: A Trade Perspective, 8 Chicago Journal of International Law 535 (2008) (symposium)
- The Ties that Bind? Regionalism, Commercial Treaties and the Future of Global Economic Integration, 60 Vanderbilt Law Review 1349 (2007)

- "Examining the Institutional Design of International Investment Law" in Karl P. Sauvant, ed., Appeals Mechanism in International Investment Disputes (Oxford University Press, 2008, 281-87)
- Note, Sharpening the Sword: Class Certification, Appellate Review, and the Role of the Fiduciary Judge in Class Actino Lawsuits, 104 Colum. L. Rev. 1042 (2004)

### **REPRESENTATIVE PUBLIC POLICY COMMENTARY**

Thinking Big on Fed Accounts, Digital Dollars and Financial Inclusion, June 23, 2020, https://medium.com/@chrisbrummer/thinking-big-on-fed-accounts-digital-dollars-and-financial-inclusion-622733baacba.

*Fintech's Race Problem, June 9, 2020, https://medium.com/@chrisbrummer/fintechs-race-problem-856df6351695.* 

Ether's Futures, May 22, 2020, at https://medium.com/@chrisbrummer/ethers-futures-9449913a306d.

The Next Crisis will be in Consumer Credit Scores — and Alternative Data Will Be Essential, April 17, 2020, at https://medium.com/@chrisbrummer/the-next-crisis-will-be-that-in-consumer-credit-scores-and-alternative-data-will-be-essential-5bb2c54b7e15

The Libra White Paper has been Edited, with Notable Changes, at https://fintechpolicy.org/2019/12/10/exclusive-the-libra-white-paper-has-been-edited-withnotable-changes/

Renminibi Ascending: How China's Currency Impacts Global Markets, Foreign Policy and Transatlantic Financial Regulation (2015) available at https://www.atlanticcouncil.org/in-depthresearch-reports/report/renminbi-ascending-how-china-s-currency-impacts-global-marketsforeign-policy-and-transatlantic-financial-regulation/

Commissioned manuscript by Atlantic Council, The City of London Corporation, Standard Chartered and Thomson Reuters (Launched in Singapore, Hong Kong, London, Brussels and Washington, DC)

*Testimony, European Parliament, March 18, 2014, at https://www.europarl.europa.eu/document/activities/cont/201403/20140320ATT81366/20140320 ATT81366EN.pdf* 

Danger of Divergence: Transatlantic Financial Reform & the G20 Agenda (2013) available at https://www.atlanticcouncil.org/in-depth-research-reports/report/the-danger-of-divergence transatlantic-financial-reform-the-g20-agenda/

Commissioned manuscript by Atlantic Council, The CityUK, Standard Chartered and Thomson Reuters (Launched in London, Brussels and Washington, DC)

### **REPRESENTATIVE PRESENTATIONS AND PUBLIC EVENTS**

Keynote Speaker, NYU Program on Corporate Compliance and Enforcement, April 2, 2021

Featured Speaker, CFTC Black History Month Celebration, February, 24, 2021

Keynote Speaker, SEC Black History Month Celebration, February 17, 2021

Guest, Marketplace, There have only been a handful of Black financial regulators, for generations (September 2020), https://www.marketplace.org/2020/09/07/only-been-handful-black-financial-regulators-for-generations/

Presenter, Brookings, Where are the Black financial regulators? (August 2020), https://www.brookings.edu/events/where-are-the-black-financial-regulators/

*Guest, Bloomberg TV, Lack of FinReg Diversity 'Appalling': Georgetown's Brummer (August 2020), https://www.bloomberg.com/news/videos/2020-08-29/lack-of-finreg-diversity-appalling-georgetown-s-brummer-video* 

*Guest, Yahoo Money!, Just 3% of top financial regulators in last 106 years were Black (July 2020), link* 

Panelist, The Future of Money, IIF Annual Membership Meeting (October 2019), https://www.youtube.com/watch?v=YOqFu09cUDA

Panelist, CNBC discussion on Nonbank Lenders in the Mortgage Market (June 2019), <u>https://www.youtube.com/watch?v=J-Q7ZKfDzxg</u>

- Facebook's Digital Currency, Washington Journal, C-SPAN (August 2, 2019) at https://www.c-span.org/video/?463109-3/washington-journal-chris-brummer-facebooks-digital-currency
- *Testimony, Facebook Libra Cryptocurrency, House Financial Services Committee (July 17, 2019),* at https://www.c-span.org/video/?462708-4/facebook-digital-currency
- Panelist, Monetary Policy and Financial Regulation, Brookings (Nov. 9, 2018), at https://www.c-span.org/video/?454299-1/monetary-policy-financial-regulation
- Testimony, Cryptocurrency Markets, House Financial Services Committee (March 14, 2018), at https://www.c-span.org/video/?442556-1/hearing-focuses-cryptocurrency-markets
- Interview with David Cohen, North Korea, Russia, and Venezuela Sanctions (Sept. 11, 2017) at https://www.c-span.org/video/?433825-1/north-korea-russia-venezuela-sanctions
- Interview (with B. Burke-White), The changing international economic order, University of Pennsylvania Case in Point (Nov. 2015, Released Jan 2016), at http://caseinpoint.org/live/news/5858-the-changing-international-economicorder#.Vo01\_GCTTWi

- Panelist, RMB in Canada, Thomson Reuters Toronto Financial and Risk summit (Nov. 23 2015), information at http://www.atlanticcouncil.org/events/past-events/chris-brummer-speaks-onrmb-internationalization-at-financial-and-risk-summit-in-toronto
- Interview, Thomson Reuters, The Cookbook of the Renminbi (June 29, 2015), https://insider.thomsonreuters.com/link.html?cn=uid543532&cid=1444170&shareToken= MzpmZGEzMjQwZC1kZDJhLTQ10GYtYmNiOC02NTAwZTRkYjQ4ZTE%3D
- Conference Organizer, Oxford University Press/Journal of Financial Regulation, Extraterritoriality and Financial Regulation, held at Georgetown Law (June 26, 2015). http://www.atlanticcouncil.org/events/past-events/atlantic-council-co-sponsors-conferenceon-extraterritoriality-in-financial-regulation
- Renminbi Ascending Report Launches: Hong Kong, Singapore, London, Brussels (June 26-July 1, 2015). DC Launch available here: http://www.atlanticcouncil.org/events/pastevents/renminbi-internationalization-in-volatile-markets
- Panelist, Financial Reform: Preventing the Next Crisis, University of Michigan Law School (October 24, 2014)
- Minilateralism, Specialist Seminar Series in Financial, Corporate and Commercial Law, London School of Economics (October 14, 2014)
- Minilateralism, Inaugural Lecture, GLOBE Centre, University of Warwick (October 13, 2014)
- Moderator, The Next Stage of Eurozone Recovery: A Conversation with Eurogroup President Jeroen Dijsselbloem at the Atlantic Council (Oct. 210, 2014), available at https://www.youtube.com/watch?v=q4CEp68UHrA
- Panelist, Dealing With Regulatory Change in a Rapidly Evolving Environment, Thomson Reuters Toronto Financial and Risk summit (Oct. 7, 2014), information at http://online.thomsonreuters.com/edm/assets/jf635470961958680180e/Toronto%20Summit %20agenda1.pdf
- Minilateralism, Keynote Address, La Protection des investisseurs (Sept. 26, 2014) (Sponsored by University Montreal, TMX and Bourse de Montreal)
- Regulatory Divergence and the Compliance Officer (June 18, 2014), at Thomson Reuters Compliance & Risk Summit, New York, available at http://www.atlanticcouncil.org/news/in-the-news/chris-brummer-speaks-at-thomsonreuters-compliance-risk-summit
- Panelist, Regulating Moral Hazard, Journal of Financial Regulation Annual Symposium at University of Paris II (July 11, 2014), details available at http://www.oxfordjournals.org/our journals/jfr/2014/05/08/jfr conference programme.pdf
- Panelist, Self Regulation after the Financial Crisis, at Self-Regulation in the Financial Markets: Exchange Issues, Market Structure, and Investor Protections, CFA Institute, Washington

*DC (June 12, 2014) at* https://blogs.cfainstitute.org/marketintegrity/2014/06/24/self-regulation-in-the-financial-markets/ *and http://www.sifma.org/members/hearings.aspx?id=8589949512* 

- The Regulatory Challenges of Bitcoin, Office of Small Business Lending Fund Oversight / SBLF Program Team U.S. Department of the Treasury, Office of the Inspector General (May 10, 2014)
- What is Bitcoin? (April 11, 2014), at the Atlantic Council, available at http://www.cspan.org/video/?318833-1/bitcoin-future-digital-currency
- Lessons on Divergence, at 12th Annual EU Financial Services Conference, Brussels (February 20, 2014), available at https://www.euems.com/summary.asp?event\_id=191&page\_id=1606

Rapporteur Presentation, Danger of Divergence Rollout, Capitol Hill, (January 28, 2014)

Divergence in International Economic Cooperation, Hong Kong University (December 15, 2013)

Moderator, Q&A with Jonathan Faull, Director General Internal Market (October 9, 2013) http://www.atlanticcouncil.org/events/past-events/jonathan-faull-on-transatlanticapproach-to-financial-reform

Hedging Bets in the International Monetary System, University of Toronto (October 3, 2013)

- Briefing Moderator, First Vice President European Parliament Gianni Pittella, Georgetown-Atlantic Council Transatlantic Finance Initiative (April 2013)
- Moderator, the Future of Trading, Milken Global Conference (April 2013) http://www.milkeninstitute.org/events/gcprogram.taf?function=detail&eventid=gc13&EvI D=4296
- Panelist, Crowdfunding for Start-Ups and Small Businesses, Milken Global Conference (April 2013) http://www.milkeninstitute.org/events/gcprogram.taf?function=detail&eventid=gc13&EvI D=4019

Hedging Bets in the International Monetary System, Fordham Law School (April, 2013)

- Interviewer, Michel Barnier, Atlantic Council-Clearing House luncheon, New York City (February 2013)
- Panelist, First Bancolombia Congress on Finance Law, Bogota Colombia (February 2013)
- "The New Politics of Credit Rating Agency Regulation," New Legal Thinking on Financial Regulation, Cambridge University (November 2012)

- Panelist, Domestic Bank Regulation in a Global Environment A Comparative Dialogue. (jointly sponsored by The Clearing House and the University of North Carolina), New York City, November 14, 2012
- Panelist, The US and the world: Assessing the economic, regulatory and political realities affecting the global markets (sponsored by Top1000funds.com and Conexus Financial), Santa Monica, California, October 21
- Law and Politics of International Credit Rating Regulation, Ohio State University, September 14, 2012
- Panelist "A Reform Program for International Financial Law," National University of Singapore, SIEL Conference, July 13, 2012
- Lead Discussant, "Crisis Without a Legacy?" International Policy Summit (sponsored by Institute of Chartered Accountants in England and Wales), Washington, DC, June 22, 2012
- Panelist, Global Banking, Milken Global Conference (May 2012) http://www.milkeninstitute.org/events/gcprogram.taf?function=detail&eventid=gc12&EvI D=3193
- Complying with International Regulatory Standards, University of Georgia, (April, 2012)

Soft Law and Global Governance, London School of Economics (March, 2011)

A Transgovernmental Financial Crisis, Washington University, (November, 2011)

*Public Goods and the Financial Economy, Duke Roundtable on International Law (October, 2011)* 

Tackling Systemic Risk: The US Answer, University of Jena, Germany (June 16, 2011)

Globalization, Soft Law and the Financial Crisis, Heidelberg University, Germany (May 2011)

- Panelist, The Future of Wall Street, Milken Global Conference (May 2011) http://www.milkeninstitute.org/events/gcprogram.taf?function=detail&eventid=gc11&EvI D=2740
- How Legitimate is International Law?, Georgetown University Law Center, Faculty Workshop (March 15, 2011)
- International Organizations as Sources of International Financial Law, University of Minnesota, Faculty Workshop (November 18, 2010)
- The Limits of International Financial Law, Symposium, University of Pennsylvania Journal of International Law (November 12, 2010)
- The Limits of International Financial Law, World Bank, 2010 Law, Justice and Development Week (November 9, 2010)

- How International Financial Law Works, Columbia Law School, Law & Economics Workshop (September 20, 2010)
- International Financial Regulation: Lessons Learned—or Not Learned (August 5, 2010), University of Basel, Switzerland
- The Predominance of International Soft Law in International Finance, Symposium, Journal of International Economic Law (May 21, 2010)
- Plural Governance in International Financial Law, Emory Law School (May 1, 2010)
- How International Financial Law Works, Faculty Workshop, Chicago-Kent Law School (April 21, 2010)
- How International Financial Law Works, Faculty Workshop, University of Virginia (April 1, 2010)
- "Implications of the Global Financial Crisis on International Trade and Investment Regimes" American Society of International Law (March 26 2010)
- Territorial Regulation after the Financial Crisis, University of Cincinnati Securities Law Symposium (March 5, 2010)
- How International Financial Law Works, Anton Philips Fund / Center for Company Law Conference, Tilburg University, Netherlands (February 26, 2010)
- How International Financial Law Works, Faculty Workshop, University of Florida (January 29, 2010)
- "The Challenge of Cross-Border Finance," Faculty Workshop, University of North Carolina, (December 4, 2009)
- "The Financial Crisis and International Trade" WTO Academy, Georgetown University Law Center (November 19, 2009)
- "Rethinking International Securities Regulation" Symposium, Securities Regulation and the Global Financial Crisis, Seton Hall Law Review (October 30, 2009)
- "The Architecture of Regulation," Symposium, Local to Global: Rethinking Spheres of Authority After a World Financial Crisis, McGeorge School of Law (October 17, 2010)
- "Tarp and International Approaches to Compensation Inaugural Georgetown Law Aspen Institute Symposium, Executive Compensation and TARP: Finding Equity in a Third Rail Issue (October 16, 2009)
- "How International Financial Law Works, Georgetown University Law Center (September 22, 2009)

- Business Law and the Supreme Court in 2009-10 "Georgetown Law Supreme Court Institute Annual Press Briefing: Anticipating the Supreme Court's October Term 2009: What to Expect (September 21, 2009)
- "Post-American Securities Regulation, New York Law School (April 13, 2009)
- "The Cooperation/Competition Dialectic in the Market for Securities Laws" University of Houston Spring Colloquium (February 26, 2009)
- "Post American Securities Regulation" Faculty Workshop, Georgetown University Legal Center (November 20, 2008)
- "Law and Economics of SEC Regulatory Coordination," Illinois Program on Business Law and Policy Corporate Colloquium (November 11, 2008)
- "Transgovernmental Clubs and SEC Regulatory Coordination," SMU Legal Conference on The Rise of Transnational Networks (November 7, 2008)
- "Post American Securities Regulation" Faculty Workshop, UCLA (September 12, 2008)
- "The Public Markets and International Financial Centers," Virginia Law and Business Symposium, University of Virginia (February 15, 2008)
- "Stock Exchanges and the New Market for Securities Laws, Business Associations Section Program of AALS Annual Meeting (January 3, 2008)
- "The Public Market for Securities Laws," Faculty Workshop, University of Southern California (December 6, 2007)
- "Law & Economics—and Corporate Law," International Seminar of Law & Economics in Santo Domingo, Dominican Institute of Law and Economics (November 30, 2007)
- "Stock Exchanges and the New Market for Securities Laws," Faculty Workshop, University of Pennsylvania Law School (November 20, 2007)
- "The Public Market for Securities Laws," Faculty Workshop, Northwestern University School of Law (November 12, 2007)
- Moderator, Vanderbilt Law and Business Conference "International Corporate Governance" Vanderbilt Law School (November 9, 2007)
- "International Investment and Structural Inconsistency" Cardozo Law School Symposium on International Investment, Cardozo Law School (November 2, 2007)
- Panelist, University of Maryland Conference, "Sarbanes Oxley Five Years Later" (October 19, 2007)
- "International Regulation and Securities Laws" Faculty Workshop, University of Georgia (October 12, 2007)

- "Global Securities Regulation," Annual Conference, Southeastern Association of Law Schools, Amelia Island Plantation, (July 2007)
- "Not Only Networks: Securities Regulation in a Global World," Annual Law and Society Conference, Berlin, Germany (July 2007)
- "The Ties that Bind? Regionalism, Commercial Treaties and the Future of Global Economic Integration," Conference on FDI, University of Pennsylvania School of Law (January 2007)